

Press Release
October 30, 2014



AlphaGen Securities selects award-winning Orc Flow Control for risk management needs

Chicago, Illinois – Thursday, October 30, 2014 Orc, the global leader in derivatives trading technology, today announced that AlphaGen Securities LLC, a broker/dealer headquartered in Chicago, has chosen the award-winning Orc Flow Control as its pre-trade risk management system. Orc Flow Control allows firms to efficiently manage order flow and ensure regulatory compliance, enabling them to capture new customer business.

“We are pleased to be integrating Orc Flow Control into our risk management capabilities,” said Mike Maloney, Risk Manager at AlphaGen Securities. “We have traders pursuing complex trading opportunities in multiple asset classes and the advanced and innovative functionality of Orc Flow Control meets all of our regulatory and internal demands.”

Orc Flow Control provides comprehensive multi-market pre-trade risk controls designed to meet common regulatory frameworks. From a single user interface, brokers can set up limits and monitor trading activity in real time for all participants across all markets. The application includes limits based on theoretical values, allowing firms to control order flow based on various market scenarios.

“We are excited to partner with AlphaGen Securities on their compliance and risk management needs,” said Jesper Alfredsson, Chief Strategy Officer, Orc Group. “We’ve spent the past year enhancing our platform for both our trading and brokerage customers. We are pleased to see our solutions help them grow their business.”

Orc Flow Control was selected “Best New Product for Risk Management of the year” at the 2014 FOW Awards for Asia on September 25, 2014 in Singapore. Since its launch in 2013, it has been successfully deployed in production across major markets in APAC, EMEA and the Americas.

About Orc

Orc is the global market leader in trading technology for listed derivatives. Building on our commitment to long term partnerships and technology innovation that delivers results, Orc serves the trading and electronic execution needs of clients worldwide.

Leading trading firms, market makers, banks and brokers depend on Orc to provide robust solutions that deliver concrete value, ensuring that they achieve their business goals in the world’s increasingly dynamic and competitive markets. With nearly 200 customer sites in more than 30 countries, access to over 150 trading venues and offices in each of the world’s key financial centers, we offer true global capabilities.

Combining our technology and financial industry expertise, including a solid understanding of regulatory issues, Orc also provides expert advice and services that help reduce complexity and cost, while enabling clients to stay focused on value creation in their core businesses.

Orc is owned by Orc Group Holding AB which in turn is owned mainly by Nordic Capital Fund VII.

www.orc-group.com

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